

Create Company Organizational Structure
The following SOXA Compliance Activity Elements are captured: <ul style="list-style-type: none"> • Accounts • Process • Control Objectives (Business and Information Systems) • Risks (Business and Information Systems) • Risk Controls (Business and Information Systems)
Document Attachments
Embedded Software for Word Processing, Spread sheet Creation and Flowchart Mapping
Discussion Threads
Customizable Workflow
Embedded E-mail for Workflow Communication
Segregation of Duties
IS Touch Points
Customizable Reports
Detailed & Summary Reports/Charts
Embedded Report Designer
Excel Based Reporting Templates
Quick Start Manual
Comprehensive User Manual
Step by Step and Animated Tutorials
Ability to Run Software on Internet Explorer™
Free Single User Embedded Database for Trials
RDBMS support for Microsoft™ SQL-Server, My-SQL, Ingress, Postgress, Firebird
Import/Export Data

About Us

Our company develops and markets mission critical business applications that target specific niches. We believe that technology is only a tool. It has to provide a synergistic effect for three elements – people, process and technology – to maximize technology benefits.

Our personnel are business mature – having close to two decades of business experience on an average. Collectively we have experience in a variety of industries: chemicals, metals and mining, manufacturing, food & beverage, construction and high technology. We are also intimately familiar with a variety of business functions in such industries.

Our consultants have experience not only in software applications but on business processes as well. We have personnel certified as Six Sigma Black Belt and provide consultation on Six Sigma.

Download

You can download a fully functional FREE, SINGLE USER version of our software from:
<http://www.pathfinderTS.com/download/>

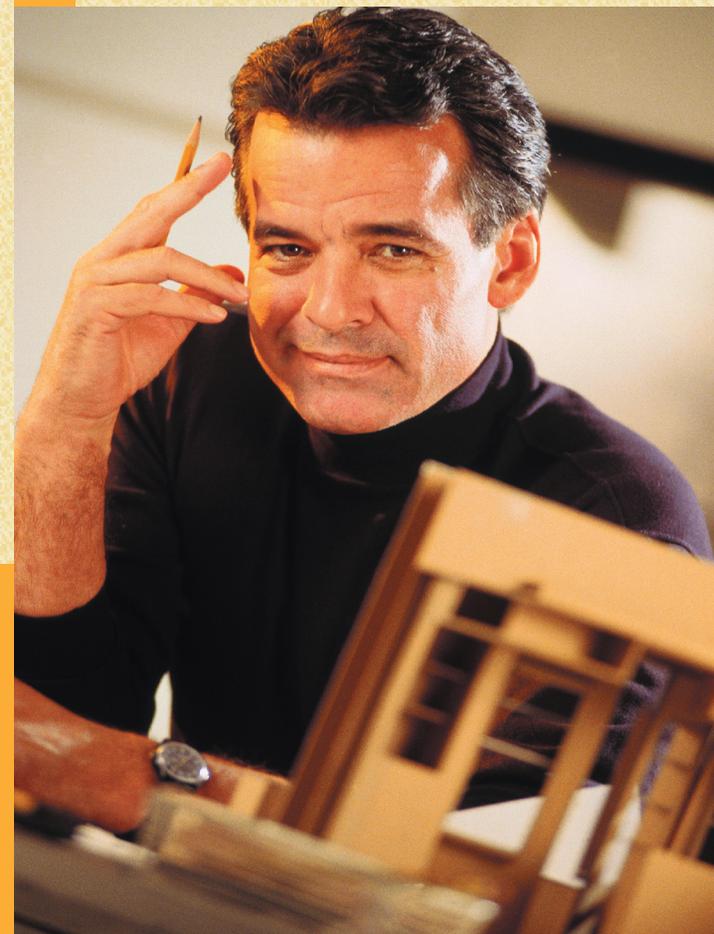
Support & Training

We provide full support and training to all our products.

For sales and pricing information please contact us by e-mail at sales@pathfinderTS.com.

Sarbanes-Oxley Compliance Kit (SOCK)

A Workflow Software Product from Pathfinder Technology Solutions



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Pathfinder Technology Solutions

We show the path



Regulatory Compliance Needs Process Management using Workflow Software

The Need

To meet compliance requirements of increasingly complexity, the companies need to:

- Provide transparency in corporate governance
- Store and secure related documents and data
- Provide necessary audit trail

The Compliance Roadmap

To meet compliance requirements of sufficient documented evidence for existence of controls, a structured methodology has to be adopted since compliance implies defined processes and appropriate rules. It also drives creation, formatting, maintenance and archival of necessary documents. Absence of a structured methodology may lead to broken audit trails; thereby subjecting organizations to potential censures by regulatory bodies.



In order to meet the above requirements in a singular framework, the compliance steps need to follow a workflow. Our well-designed workflow software ensures that everyone follows the same procedures, proper approvals are granted, appropriate documents are created, compliance process testing is adequate and the final sign-off is easy.

SOCK (Sarbanes-Oxley Compliance Kit) from Pathfinder Technology Solutions

Pathfinder SOCK is a powerful and comprehensive software tool that provides complete and accurate management of the overall Sarbanes-Oxley Act (SOXA) compliance. Since achieving compliance is a process in itself, performing tasks within compliance workflow becomes far easier with the use of workflow process management software. Our software facilitates coordination of:

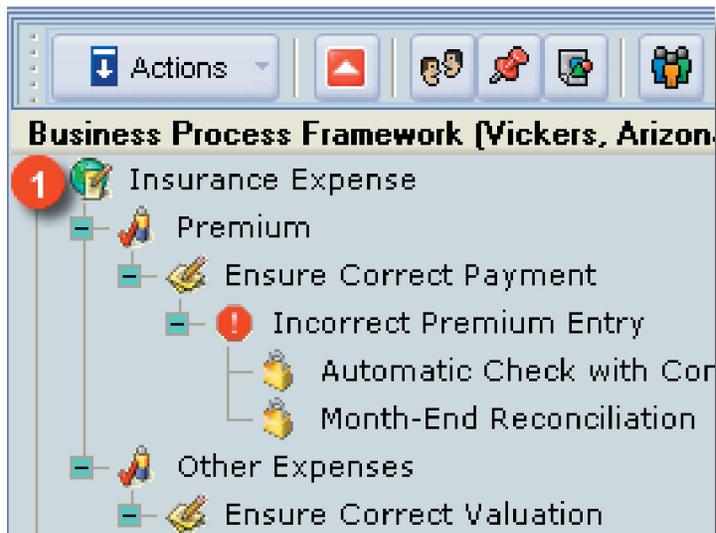
- Multiple business functions (project team, process owners, site controllers, etc.),
- Many (sometimes, several hundred) business processes,
- Even many more controls,
- Perhaps many hundreds of documents (process maps, miscellaneous documents, discussion threads, etc.),
- E-mail notification, etc.,

Our product (SOCK) has been developed from grounds up to specifically meet SOXA compliance. Often general purpose Business Process Management (BPM) software is used for SOXA compliance, which makes it unwieldy to configure and use.

SOCK is easy to setup, configure, train and use subsequently. It has been developed based on our consultants' experience in establishing SOXA compliance. It follows a compliance methodology adopted by major auditors and has features that simply do not exist in some of our competitors products.

It does not require additional software for documentation. One can use our "embedded" software and doesn't require additional word processing, spreadsheet or process mapping graphics software, such as Microsoft™ Office suite. However, one can "attach" any document created by such or any other software. A complete audit trail for all documents as well as tasks performed is maintained.

Lastly, the cost of implementation as well as the cost of the software itself will pleasantly surprise you.



	SOXA framework elements for each Business entity
	Create Discussion threads
	Attach Documents
	Create Embedded documents
	Workflow

The figure on the left shows a sample framework and its associated toolbar functions.